



Date: October 5, 2020

**To**: Talia Bettcher,

Chair, Academic Senate

**From**: Heidi Riggio, Chair

Faculty Policy Committee

Copies: N. McQueen, J. Lazo-Uy, R. Roquemore, V. Salcido, J. Dennis

Subject: Proposed Policy Modification for Chapter VI (Section B) of the Faculty Handbook FPC

20-2: Evaluation of Permanent Instructional Faculty

Former Vice President of Academic Affairs Michael Caldwell indicated to FPC before departing Cal State LA that evidence faculty submit in their Supplemental File (the Personnel Accomplishments Report, or PAR) for tenure and promotion does not have to include materials submitted in previous performance reviews. Although the period of review for tenure and promotion to Associate Professor is since the date of appointment, and is to be a cumulative and comprehensive review, materials submitted in previous performance reviews have already been evaluated; submitting them a second time permits a second evaluation which might conflict with the earlier evaluation from the earlier performance review. Materials may be evaluated very differently the second time, effectively ignoring the previous evaluation of that same material, resulting perhaps in an unfair evaluation of the faculty person. For example, materials previously evaluated as Satisfactory might be secondarily evaluated as Unsatisfactory by a different RTP committee, which is unfair. Therefore, previous performance reviews must be honored and used as part of the cumulative review. The modification here focuses on evidence submitted in the PAR at tenure and promotion to Associate Professor, as this is the only situation in which this conflict between earlier and current evaluations may occur. The modification makes submission of previously reviewed materials in the PAR optional and up to the choice of the individual faculty person.

FPC deliberated about FPC 20-2 at its meetings on September 21, September 28, and October 5, 2020. FPC voted unanimously to approve the policy modification FPC 20-2: Evaluation of Permanent Instructional Faculty on October 5, 2020.

The following points summarize the proposed changes to the policy:

Line 7: We added Article 11 of the CBA as a governing document.

Line 37: We changed "his or her" to "their," a more inclusive term.

Lines 38-39: We inserted the term PIF to refer to the personnel information form. We included

reference to the Personnel Accomplishments Report (PAR) to reflect the more recent

policy on the PAR.

Lines 41-43: We inserted language indicating that evidence submitted with the PAR should focus on

accomplishments since the last performance review.

Lines 43-44: We inserted language indicating that inclusion of previously reviewed materials with the PAR is optional.

#### **Evaluation of Permanent Instructional Faculty**

- 2 (Senate: 8/3/76, 5/24/77, 7/28/82[EA], 5/24/83, 11/3/87, 7/25/89, 11/7/89, 8/21/90, 7/30/91,
- 3 2/4/92, 10/26/93, 5/10/94, 8/22/95, 5/9/00, 5/10/11, 1/24/12, 5/28/13, 12/2/14; President:
- 4 8/16/76, 6/14/79, 9/8/82, 6/14/83, 6/22/88, 8/16/89, 11/24/89, 11/1/90, 10/7/91, 3/11/92,
- 5 12/13/93, 6/29/94, 6/24/96, 6/6/00, 7/14/11, 2/23/12, 7/9/13, 1/26/15; Editorial Amendment:
- 6 9/00, 8/01)

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- 7 Governing documents: Articles 11, 13, 14, and 15 of the Collective Bargaining Agreement
- 8 between the California State University and the California Faculty Association.
- 9 In keeping with the terminology utilized in the Collective Bargaining Agreement between the
- 10 California State University and the California Faculty Association, the term "permanent faculty"
- shall refer to all probationary (tenure-track) and tenured faculty. This evaluation policy governs
- 12 permanent instructional faculty. Although librarians, counselors, and coaches fall within the
- 13 faculty bargaining unit, some aspects of their assignments differ from those of instructional
- 14 faculty and thus they are subject to specific evaluation criteria. Relevant evaluation policies for
- each of these groups can be found in their respective evaluation policies elsewhere in this
- 16 Handbook.

17 Overview

- 18 The purpose of the University's instructional evaluation policy is to maintain and enhance the
- 19 high quality of the academic programs at Cal State LA by assuring that all permanent faculty
- 20 members meet and maintain high standards of performance as teachers, scholars, and
- 21 members of the campus community. The policy aims to achieve this objective by establishing
- criteria for fair, thorough, and consistent evaluation of individual faculty members.
- 23 Evaluations of tenure-track and tenured instructional faculty shall focus on the quality and
- 24 effectiveness of educational performance, professional achievement, and other contributions
- 25 to the University by the faculty member under review.
- 26 The evaluation of an instructional faculty member is based upon a comprehensive review of the
- 27 individual's qualities, achievements, and promise during the year or years included in the
- 28 review period.
- 29 Attention shall be given to forming a general "profile" or comprehensive estimate of the faculty
- member's performance and special professional interests and accomplishments.
- 31 All reviews shall be based on evidence in the two-part personnel action file, which includes the
- 32 permanent personnel action file (PPAF) and the working personnel action file (WPAF). All
- evaluations will be entered into the faculty member's permanent personnel action file
- 34 (PPAF). The permanent personnel action file (PPAF) is maintained by the University. Reports of
- 35 peer observations of instruction and quantitative summaries of student opinion surveys are

- 36 maintained in the PPAF. The candidate is responsible for providing the following materials to 37 his or her THEIR working personnel action file (WPAF) before the published date of the file 38 closure: a current curriculum vitae, AND a personnel information form (PIF) ALONG WITH A 39 PERSONNEL ACCOMPLISHMENTS REPORT (PAR) that summarizes and describes the candidate's 40 activities and accomplishments during the period under review, and evidence of these activities 41 and accomplishments. ALTHOUGH PERFORMANCE REVIEW FOR TENURE IS CUMULATIVE AND
- 42 COMPREHENSIVE, EVIDENCE SUBMITTED SHOULD FOCUS ON ACCOMPLISHMENTS SINCE THE
- 43 LAST PERFORMANCE REVIEW. INCLUSION OF MATERIALS PREVIOUSLY SUBMITTED IN THE
- 44 SUPPLEMENTAL FILE FOR PERFORMANCE REVIEW IS OPTIONAL.

## I. Types of Evaluation

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There are two types of evaluations of permanent faculty members:

46 47 **performance reviews,** required for retention, tenure and promotion of 48 permanent faculty, and 49 periodic evaluations, conducted when an evaluation is required, but in periods 50 in which a faculty member is not under consideration for retention, tenure, or 51 promotion. 52 Performance reviews serve the dual purposes of determining whether or not a faculty 53 member's performance warrants retention, tenure, or promotion, and of providing the faculty 54 member with constructive feedback on his or her performance in the areas under 55 review. Periodic evaluations are aimed primarily at providing the faculty member with 56 feedback on his or her performance. However, they may be considered in subsequent 57 performance reviews. 58 Permanent (probationary and tenured) faculty members shall undergo a performance review 59 when under consideration for retention, tenure, or promotion. A permanent faculty member 60 undergoing a performance review shall be reviewed by the appropriate 61 department/division/school peer review committee, the department/division chair or school

A permanent faculty member undergoing periodic evaluation shall be reviewed by the appropriate department/division/school peer review committee, the department/division chair or school director (if not a member of the department/division/school peer review committee), and the dean. Periodic evaluations shall include review of a faculty member's performance in

director (if not a member of the department/division/school peer review committee), the

appropriate college peer review committee, the dean, the Provost and the President.

all of the same areas as during a performance review.

#### II. Evaluative Standards

- 70 Permanent instructional faculty members at Cal State LA shall be evaluated on the basis of their
- 71 educational performance, professional achievement, and contributions to the University.
- 72 Permanent faculty evaluations shall utilize the following official evaluative terms:
- 73 **Outstanding** describes truly *exceptional* performance, for a faculty member at the particular
- 74 rank and career stage.
- 75 **Commendable** describes performance that is better than satisfactory and that exceed
- 76 expectations for a faculty member at the particular rank and career stage.
- 77 **Satisfactory** describes performance that meets expectations for a faculty member at the
- 78 particular rank and career stage.
- 79 **Needs Improvement** describes performance that does not meet expectations for a faculty
- 80 member at the particular rank and career stage, in one or more specified areas of concern.
- 81 Unsatisfactory describes performance that is seriously deficient for a faculty member at the
- 82 particular rank and career stage.
- 83 A review that finds a faculty member's performance to be satisfactory or better in all areas shall
- be accompanied by a favorable recommendation for retention, tenure, or promotion, when
- 85 eligible and not applying early.
- 86 An evaluation of "needs improvement" does not preclude a reviewer/review committee from
- 87 recommending retention. To receive a favorable recommendation for tenure and promotion at
- 88 least satisfactory performance must be demonstrated in all three categories.
- 89 A judgment of unsatisfactory in any one area shall entail a negative recommendation for
- 90 retention, tenure, or promotion.
- 91 III. Evaluation Timelines

# 92 PERIODIC AND PERFORMANCE REVIEWS FOR PROBATIONARY FACULTY

- 93 Initial probationary appointments will normally be for two years. Initial appointments of
- 94 probationary faculty members who are appointed in a term other than fall shall end in spring
- 95 term of the second academic year of service.
- 96 During the first year of an initial probationary appointment, a faculty member shall undergo a
- 97 periodic evaluation, with the exception of those appointed in spring semester (who will not be
- 98 reviewed in the first [partial] year of appointment). During the second year of an initial
- 99 probationary appointment, a faculty member shall undergo a performance review for
- 100 retention.

101 102	For the purposes of calculating tenure eligibility, the first year shall begin with the first fall term in which a probationary faculty member is employed.
103 104 105	It is possible to receive approval for a one-year extension of the probationary period when participating in specified leave programs. Information related to extensions may be found in Articles 13.7 and 13.8 of the Collective Bargaining Agreement.
106 107 108 109 110 111	If found to be satisfactory or better during a performance review for retention, probationary faculty members shall be reappointed for subsequent two-year appointment(s) unless they have only one year remaining in their probationary period, in which case they will receive a one-year appointment. If a probationary faculty member is found to be less than satisfactory, he or she <i>may</i> receive a one-year appointment. During each year between retention reviews probationary faculty shall undergo periodic evaluations.
112 113	Probationary faculty members may request a performance review during any year in which they would otherwise receive only a periodic evaluation.
114 115	Consideration for tenure normally occurs during the sixth year of service as a probationary faculty member minus any credit toward tenure.
116 117 118	A faculty member shall not normally be promoted to associate professor and may not be promoted to professor during the probationary period. Assistant professors who are awarded tenure shall be promoted concurrently to associate professor.
119 120	A faculty member must be employed by Cal State LA and in the current rank for at least two years before applying for tenure or promotion to a higher rank.
121	Early Tenure and/or Promotion for Probationary Faculty
122 123 124 125 126 127 128 129	Consideration for tenure normally occurs during the sixth year of service as a probationary faculty members minus any credit toward tenure. A probationary faculty member applying for early tenure or early promotion shall demonstrate that they have, in a shorter period of time, (A) achieved the level of development in all areas of review that is expected of candidates for tenure; and (B) established a record of accomplishments that exceeds the standards and level of performance expected during the probationary period. Probationary faculty members shall not be promoted beyond the rank of associate professor. Prior to the final decision for early tenure or early promotion, candidates may withdraw without prejudice from consideration at
130	any level of review. If a faculty member has applied for and been denied early tenure or early

promotion, the faculty member cannot apply again for early tenure or early promotion while in

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the same rank.

## 133 Post-Tenure Performance Reviews and Periodic Evaluations

- Once tenured, a faculty member will typically undergo a performance review during the fifth
- 135 year in rank as an associate professor, for consideration for promotion to the rank of
- professor. A faculty member who does not wish to apply for promotion within five years of
- receiving tenure/promotion to associate professor, must undergo a periodic evaluation in the
- 138 fifth year in rank. All tenured professors (at any rank) shall be evaluated at intervals no greater
- than five years. Participants in the Faculty Early Retirement Program (FERP) shall not be
- required to undergo evaluation unless an evaluation is requested by either the FERP participant
- 141 or the college dean.
- 142 Tenured faculty members may be evaluated more frequently at the request of the faculty
- member or the president.
- 144 The faculty member's evaluation for promotion to the rank of professor emphasizes the scope
- and depth of teaching performance, the degree of professional recognition within and beyond
- the University, and the distinctiveness of contributions to the general welfare of the faculty
- members department/division/school, college, and University. Such a review must
- necessarily include a careful evaluation of each individual achievement, with the aim of
- determining its value to the faculty member, the students and the University.

# **Early Promotion for Tenured Faculty Members**

- 151 Tenured associate professors may request to be considered for early promotion to the rank of
- professor. Tenured associate professors applying for early promotion shall demonstrate that
- they have achieved, in a shorter period of time, a record of accomplishments that exceeds the
- 154 standards and level of performance that would be expected during the normal five-year period
- of time in rank as an associate professor. A faculty member cannot apply for early promotion if
- they have applied for and been denied early promotion while in the same rank.

#### 157 Review Periods

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#### 158 Performance Review Periods:

Review:	Review Period Begins:	Review Period Ends:
Retention review for second year faculty	Date of appointment to probationary position	File closure (fall semester of second year)
Retention (probationary performance review years other than second)	File closure of previous performance review	Current file closure (fall semester of performance review years)
Tenure and Promotion	Date of appointment to probationary position	Current file closure (fall semester of tenure ELIGIBILITY <u>elegibilty</u> year)

Promotion to Professor	File closure of tenure and	Current file closure (fall
	promotion performance	semester of promotion
	review	eligibility year)

## 159 Periodic Evaluation Periods:

Evaluation:	Evaluation Period Begins:	Evaluation Period Ends:	
First year evaluation	Date of appointment to probationary position	File closure (spring semester of first year)	
Annual evaluation (probationary years not requiring retention review)	File closure of previous performance review	Current file closure (spring semester of current year)	
Post-tenure review	File closure of last review	Current file closure (spring semester of current year)	

#### 160 IV. Criteria Governing Evaluations of Permanent Faculty

- Reviews for retention, tenure, and promotion to associate professor are cumulative in the
- sense that the progress or growth of the faculty member since joining the faculty is a factor in
- evaluation. Generally, the evaluation of a probationary faculty member will take into account
- all and only the activities and achievements since the initial probationary
- appointment. Reviews are comparative in the sense that the faculty member is evaluated
- against the quality and effectiveness of performance of colleagues taking into account the
- 167 broad range of activities in which different members of the faculty engage. Performance
- reviews for promotion to the rank of professor are similarly cumulative and comparative i.e.,
- the progress or growth of faculty members while in their present rank is assessed against the
- quality and effectiveness of colleagues' performance, taking into account the broad range of
- activities in which different members of the faculty engage. EXCEPT WHEN APPLYING FOR
- 172 EARLY PROMOTION TO THE RANK OF PROFESSOR, FOR A FACULTY MEMBER TO RECEIVE
- 173 PROMOTION TO THE RANK OF PROFESSOR, THEY SHALL DEMONSTRATE A LEVEL OF
- 174 ACHIEVEMENT THAT IS COMMENSURATE WITH THAT OF OTHER CANDIDATES RECOMMENDED
- 175 FOR PROMOTION TO THE RANK OF PROFESSOR, REGARDLESS OF THE NUMBER OF YEARS SINCE
- 176 EARNING TENURE AT CAL STATE LA.
- 177 Permanent faculty members are evaluated on the basis of their performance in the following
- 178 categories:
- 179 A. Educational Performance
- 180 B. Professional Achievement
- 181 C. Contributions to the University.

- Of the three categories, category A normally shall have the greatest weight. In the case of a
- 183 faculty member who is appointed or elected to a non-teaching position, special consideration
- shall be given to performance in that assignment. In such cases, a faculty member should
- consider preparing an individualized professional plan; the individualized professional plan is
- 186 described in section V. B.
- 187 Although the criteria governing performance reviews are the same for retention, tenure, and
- promotion cases, reviewers should recognize qualitative differences between these types of
- reviews. This difference, however, is one of degree, not kind, and it may be summed up under
- the concept of growth or progress. At the time of the performance review of the faculty
- member for retention during the probationary period, judgment is based on demonstrated
- 192 growth, performance and promise in categories A, B, and C.
- 193 At the time of candidacy for tenure and/or promotion, however, a faculty member is expected
- 194 to have demonstrated substantive achievements in each of the three areas; promise of future
- 195 growth will not be sufficient to warrant a positive recommendation for tenure or
- 196 promotion. Special consideration will be given to the continuity and growth of the activities
- 197 comprising this total performance.

## 198 Category A, Educational Performance, consists of two elements:

- 199 1. teaching performance, and
- 200 2. related educational activities.
- 201 1. Teaching performance includes those activities by the faculty member that directly
- 202 contribute to student learning. Effective teaching can include many pedagogical approaches,
- such as lectures, individual and group exercises, inquiry-based learning, discussion sessions, and
- other techniques. It can also include a wide range of activities such as supervising theses or
- 205 projects; supervising student learning experiences in academic and community based settings;
- collaborating with students on research, performance, artistic, and other projects; mentoring
- students; and tutoring students.
- 208 The evaluation of teaching performance is an assessment of the quality and effectiveness of the
- 209 efforts of faculty members that contribute to student learning. This evaluation must include
- 210 multiple measures:
- a. A summary of the quantitative responses to the "Student Opinion Survey on Instruction."
- b. Evaluation of teaching performance based upon a peer observation of instruction.
- 213 c. At least one other source of information, such a course syllabi, instructional
- 214 materials, assessment methods, assignments (including field assignments), evidence of
- 215 student work and accomplishments, and signed letters from students.
- 2. Related educational activities include, but are not limited to: academic advisement,
- 217 curriculum/program development, programmatic assessment of learning outcomes,

- 218 membership on thesis committees, the development and evaluation of comprehensive exams,
- and other academic support activities that enhance student retention and student
- achievement.
- 221 The evaluation of related educational activities is based upon such items as surveys of student
- opinions of advisement, student mentoring, tutoring, field activities, etc.; written reports from
- 223 the department/division chair or school director, students, faculty, and/or other individuals
- with first hand knowledge of the faculty member's activities; and other such documentation
- provided by the faculty member regarding participation in program assessment, curriculum
- development, and other related educational activities.
- 227 Category B, Professional Achievement, is defined as performance of discipline-related activities
- that include, but are not limited to the following broad areas identified in no particular order:
- Academic and scholarly contributions to the faculty member's profession and field, that are
  externally evaluated and published or formally accepted for publication such as research,
  critical essays and analyses, and theoretical speculations.
- Innovative use of technology, textbooks, and original teaching or testing materials which are adopted for professional and/or instructional use outside the faculty member's department/division/school.
- Inventions, designs and innovations that have been favorably evaluated by authorities outside the University.
- Creation, exhibition, performance or publication in the arts or literature. Producing and directing events in the performing arts, including visual arts, music, dance, and theatre, beyond normal instructional duties.
- Presentations before meetings of scholarly and professional societies, and presentations as
  an invited authority in the faculty member's field before scholarly and professional
  audiences.
- Participation in activities of scholarly or professional societies beyond mere membership,
  such as elective office, fellowship status, committee membership, receipt of special awards,
  organization of symposia, and chairing of conference sessions.
- Receipt of fellowships, grants, contracts or other subsidies and commissions for scholarly activities in the faculty member's field.
- Holding special appointments such as visiting professorships, lectureships, or consultant
  assignments in other academic, scholarly, professional, or governmental institutions.
- Editing or reviewing of scholarly or professional publications.
- Professional practice that utilizes the faculty member's academic expertise.
- Service to one's profession, in such cases where the activity is based on one's disciplinary expertise (for example, appointment to a granting agency's review board or service on a professional board).
- Community based participatory research, community service, and community based activities that involve the academic expertise of the faculty member.

- In evaluating these contributions as to their relative merits, the quality and effectiveness, and not only the quantity of the contributions in category B shall be the primary consideration.
- Category C, Contributions to the University, is defined as all other service to the University,
  profession, or community that contributes to the mission and governance of the University
  such as, but not limited to, those activities listed below.
- Contributions to academic governance such as membership and participation in the activities of department/division/school, college, university, and system committees, and service in administrative capacities.
- Participation in any student, faculty, professional, or community organization or
  engagement in any service to colleges and/or the community or engagement in other
  activities that bring positive recognition to the faculty member and to the University.
- Delivery of speeches, conducting of colloquia, or otherwise conveying information about
  the faculty member's scholarship, profession, field and university to community groups.
- Organization of and engagement in significant university, college and
  department/division/school activities that improve the educational environment and/or
  student, staff, or faculty life, such as organization of retreats, conferences, or orientations.
- In evaluating these contributions in category C as to their relative merits, the quality and effectiveness, and not only the quantity of the contributions shall be the primary consideration.

#### V. Additional Evaluation Policies

#### 278 A. External Review

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- A request for an external review of materials in one's personnel file may be made by any of the
- 280 parties involved in the review. Any request for an external review must be directed to the
- 281 President or his designee and must indicate (1) the extraordinary circumstances warranting
- 282 external review, and (2) the materials to be reviewed. For such a review to take place, the
- 283 faculty member under review must concur with the request for external review. The dean of
- 284 the college shall select appropriate external reviewer(s), with the approval of the President or
- designee and the concurrence of the faculty member under review, and transmit to the
- reviewers the materials to be reviewed. A copy of the relevant parts of this policy shall
- accompany the materials to be reviewed.
- Once the external reviewer(s)' report is received, the file is returned to the initial stage of
- review and the review commences from that level forward with the reviewers' report added to
- 290 the permanent personnel action file (PPAF).

## B. Individualized Professional Plans (IPP)

- Each faculty member shall have the discretion to develop, in collaboration with his or her chair or director and the appropriate department/division school personnel committee, an
- individualized professional plan (IPP). Such plans shall specify the candidate's goals and
- 295 objectives and may alter the balance or focus of performance among categories A, B, and C for
- a specified period of time.
- 297 A faculty member may choose to prepare an IPP when either his or her work assignment or
- area of specialization warrants a departure from the usual evaluation criteria, or when the
- 299 faculty member's work is of a nature that it makes it difficult to apply the established
- 300 evaluation criteria articulated above. Such a plan must indicate the time period during which it
- will apply to the evaluation of the faculty member's performance. No IPP may be retroactively
- applied, and in no case shall an IPP exceed three years in duration. However, an IPP may be
- renewed. An IPP must be approved by the faculty member, the department/division chair or
- 304 school director, the dean, the Provost and the President. The IPP must indicate (1) the unusual
- 305 circumstances or work assignment that warrant(s) the creation of the plan, (2) the work plan
- 306 (and expected outcomes) for the faculty member over the course of the IPP's duration, and (3)
- where necessary, the criteria by which the faculty member will be evaluated. An individualized
- 308 professional plan will still require that a faculty member be evaluated in all areas of expected
- performance. Whenever an IPP is approved, it must be placed in the permanent personnel
- 310 file. An IPP will be effective upon its approval and will govern only that part of the evaluation
- 311 period during which it is in place.

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## C. Evaluation of Faculty Active in Interdisciplinary Programs

- 313 When a faculty member with an appointment in a specific department/division/school devotes
- all or part of his or her efforts to instruction in or participates in the development and
- administration of an interdisciplinary program, that faculty member may request an assessment
- of his or her performance in the activities associated with the interdisciplinary program. In that
- case, prior to the file closure date, the coordinator of the interdisciplinary program shall
- 318 provide a written assessment of the contributions of the faculty member to that program for
- 319 the faculty member's permanent personnel action file. This assessment shall be part of the
- evidence upon which the evaluation is based.

#### D. Evaluation of Faculty with Joint Appointment

- 322 The criteria for evaluating faculty with joint appointments shall be consistent with those used
- for comparable evaluations of faculty members appointed to a single
- 324 department/division/school.
- 325 Faculty with joint appointments in two or more departments/divisions/schools or equivalent
- units shall be evaluated either by the peer review committee, in each
- department/division/school or by a joint committee of faculty from each
- department/division/school. If a joint committee is utilized, this committee will consist of
- members of all academic units within which the candidate holds a joint appointment. Each

330 academic unit shall elect the committee members representing the unit and each unit shall be 331 represented in as close to equal proportion as possible to proportion of the candidate's time 332 assigned to that unit. If not a member of the peer review committee, the chair or director of 333 each academic unit shall write an independent evaluation. A faculty member appointed in two 334 different colleges will be evaluated by the college-level peer review committee in each college 335 in which he or she is appointed. 336 College dean(s), in consultation with the faculty member holding a joint appointment and the 337 department/division chair(s) or school director(s), shall determine whether the faculty member 338 will be evaluated in each department/division/school or by a joint committee; this 339 determination should be made at least 30 days prior to the file closure date for the faculty 340 member's first evaluation. In subsequent years, changes to the department/division/school-341 level review process can be effected either at the recommendation of the faculty member with 342 dean's approval or at the discretion of the dean after consultation with the faculty 343 member. Such changes will become effective for any review cycles beginning 30 days after the 344 change is instituted. 345 In every case, the department/division/school and college-level recommendations shall be 346 forwarded to the respective dean(s) of the college(s) in which an appointment is held; each 347 dean shall conduct an evaluation and forward a recommendation to the Provost. For 348 individuals holding a joint appointment, the President shall make a single decision regarding 349 retention, tenure, or promotion.