

# Tiling Rectangles with Squares

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



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October 19, 2000



## Overview

- Joint Work with Phyllis
- Interested in




$T_{m,n}^k$  = # of tilings of size  $m$ -by- $n$  that contain exactly  $k$   
 $2 \times 2$  tiles

- ✓ Warm-up Exercises (c-rods)  
- ✓ Generalization to Square Tiles  
- ✓ General Results for  $T_{m,n}^k$
- ✓  $T_{2,n}^k$  and  $T_{3,n}^k$  - Recursion and Explicit Results, Patterns
- ✓  $T_{4,n}^k$  and  $T_{5,n}^k$  - Recursion and Patterns
- ✓ Extensions to  $m > 5$  ?????
- ✓ Generating Functions
- ✓ Asymptotic Results

## Warm-Up Exercise #1

How many tilings of  $1 \times n$  are there with  and  tiles?

## Warm-Up Exercise #2

How many tilings of  $1 \times n$  are there with  and  tiles which contain exactly  $k$   tiles?

Recursively:

Explicitly:

Now we will look at tilings of an  $m \times n$  area with  $\square$  and  $\blacksquare$  tiles and count the tilings that have exactly  $k$   $2 \times 2$  (red) tiles.

## Notation

$T_{m,n}$  = total number of tilings

$T_{m,n}^k$  = # of tilings with  $k$   $2 \times 2$  tiles

$m \times n$  area  $\leftrightarrow$   $m$  rows and  $n$  columns

## General Results

$$1) \quad T_{m,n} = \sum_{k \geq 0} T_{m,n}^k \quad \text{and} \quad T_{m,n}^k = 0 \quad \text{for} \quad k > \left\lfloor \frac{m \cdot n}{4} \right\rfloor$$

$$2) \quad T_{m,n}^1 = (m-1)(n-1) \quad \text{for} \quad m \geq 1, n \geq 1$$

$$3) \quad T_{m,n}^0 = 1 \quad \text{for all values of } m \text{ and } n$$

Proof:

2) A single red square can have its lower left corner in any of  $m-1$  rows and  $n-1$  columns

3) In this case the tiling consists of all white tiles.

## Case $m = 2$ and $m = 3$ :

Recursive Formulas:

$$T_{2,n}^k = T_{2,n-1}^k + T_{2,n-2}^{k-1} \quad \text{and} \quad T_{3,n}^k = T_{3,n-1}^k + 2T_{3,n-2}^{k-1}$$

for  $n \geq 2, k \geq 1$

Explicit Results:

$$T_{2,n}^k = \binom{n-k}{k} \quad \text{and} \quad T_{3,n}^k = \binom{n-k}{k} \cdot 2^k$$

Proof:

For  $m = 2$ , there is a one-to-one correspondence to tiling with c-rods (warm-up exercise #2)



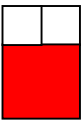
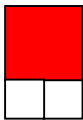
Brigham, Caron, Chinn & Grimaldi: "A Tiling Scheme for the Fibonacci Numbers",  
*Journal of Recreational Mathematics*, Vol 28, No1.

For  $m = 3$ , we need to show:

$$\boxed{T_{3,n}^k = T_{3,n-1}^k + 2T_{3,n-2}^{k-1} \quad \text{and} \quad T_{3,n}^k = \binom{n-k}{k} \cdot 2^k}$$

Modify the reasoning of the warm-up exercise:

- Either the tiling starts with   $\Rightarrow T_{3,n-1}^k$  tilings

or with either a  or   $\Rightarrow 2T_{3,n-2}^{k-1}$  tilings

- Line-up of white stacks and mixed stacks; if we have  $k$  red tiles, then there must be  $k$  mixed stacks and  $n-2k$  white stacks, i.e.  $n-k$  objects. Select the positions of the mixed stacks

$$\Rightarrow \binom{n-k}{k} \text{ possible choices}$$

Once positions of mixed stacks have been determined, there are two possibilities for each position

$$\Rightarrow \binom{n-k}{k} 2^k \text{ possible tilings}$$

## Patterns for $T_{2,n}^k$

$n \setminus k$	0	1	2	3	4	5	6
0	1						
1	1						
2	1	1					
3	1	2					
4	1	3	1				
5	1	4	3				
6	1	5	6	1			
7	1	6	10	4			
8	1	7	15	10	1		
9	1	8	21	20	5		
10	1	9	28	35	15	1	
11	1	10	36	56	35	6	
12	1	11	45	84	72	21	1

### Patterns:

- 1)  $T_{2,n}^1 = n-1$
- 2) Diagonals of slope -1 contain rows of Pascal's triangle
- 3) Values in  $l^{\text{th}}$  diagonal of slope -2 equal values in column for  $k = l$ .
- 4)  $T_{2,2k}^k = 1$

Proof:

1) General Result (2) for  $m = 2$ .

Entries in the  $l^{\text{th}}$  diagonal of slope  $-r$  are given by  $T_{2,l+rk}^k$ .

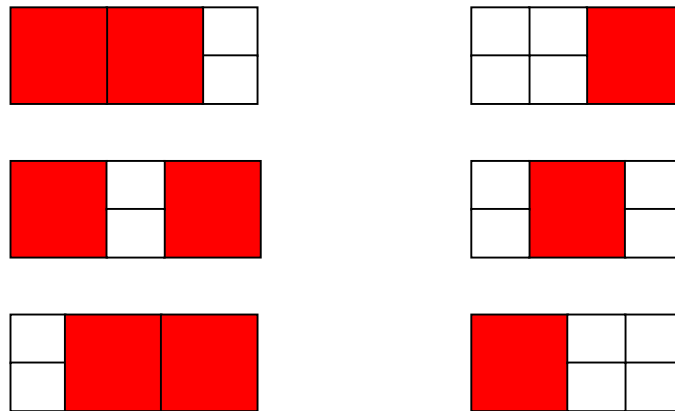
2) For  $l=1$  this means we look at  $T_{2,l+k}^k$ . Thus we need to line up  $n-k = l+k-k = l$  objects. Select the positions for the  $k$  red squares

$$\Rightarrow T_{2,l+k}^k = \binom{l}{k}$$

3)  $T_{2,l+2k}^k = T_{2,k+2l}^l$

Combinatorial Argument: Replace squares  by stacks  and vice versa.

Example ( $l = 1, k = 2$ ):  $T_{2,5}^2 = 3 = T_{2,4}^1$



4) In this case we are placing  $k$  red tiles into an area of size  $2 \times (2k) = 4k \rightarrow$  there is only one way to do this.

## Patterns for $T_{3,n}^k$

$n \setminus k$	0	1	2	3	4	5	6
0	1						
1	1						
2	1	2					
3	1	4					
4	1	6	4				
5	1	8	12				
6	1	10	24	8			
7	1	12	40	32			
8	1	14	60	80	16		
9	1	16	84	160	80		
10	1	18	112	280	240	32	
11	1	20	144	448	560	192	
12	1	22	180	672	1120	672	64

### Patterns:

1)  $T_{3,n}^1 = 2(n-1)$

2)  $T_{3,n}^2 = 2(n-2)(n-3)$  for  $n \geq 4$

3)  $T_{3,2k}^k = 2^k$  for  $k \geq 1$

4)  $T_{3,l+2k}^k = T_{3,2l+k}^l 2^{k-l}$  ( $k^{\text{th}}$  column  $\leftrightarrow$   $l^{\text{th}}$  diagonal of slope -2)

Proof:

- 1) General Result (2) for  $m = 3$ .
- 2) & 3) are special cases of the explicit formula for  $T_{3,n}^k$ .
- 4) Same argument as in the case  $m = 2$ , namely replacing white stacks by mixed stacks and vice versa. However, there are now 2 possibilities for the mixed stacks, thus an adjustment factor of  $2^{k-1}$  is needed.

Example:  $k = 2$

$$\underbrace{T_{3,l+2k}^k}_{\text{column elements}} = \underbrace{T_{3,2l+k}^l}_{\text{diagonal elements}} \cdot \underbrace{2^{k-1}}_{\text{adjustment factor}}$$

$l$	$T_{3,l+4}^2$	=	$T_{3,2l+2}^l$	·	$2^{k-1}$
0	4	=	1	·	4
1	12	=	6	·	2
2	24	=	24	·	1
3	40	=	80	·	1/2
4	60	=	240	·	1/4
5	84	=	672	·	1/8

Case  $m = 4$ :

$$T_{4,n}^k = T_{4,n-1}^k + 3T_{4,n-2}^{k-1} + T_{4,n-2}^{k-2} + 2 \sum_{r=3}^{\min\{k+1,n\}} T_{4,n-r}^{k-r+1} \quad \text{for } k \geq 2$$

Proof:

We look at the first column (from the left)

- 1) No red tile in the first column  $\Rightarrow$   $k$  red squares have to occur in a tiling of size  $4 \times (n-1)$

$$\Rightarrow T_{4,n-1}^k \text{ tilings}$$

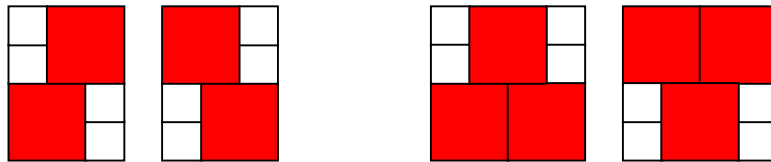
- 2) One red square in the first column, and no red tile whose lower left corner is in the second column  $\Rightarrow$   $k-1$  red tiles in a tiling of size  $4 \times (n-2)$ ; 3 possible positions for the red tile in column 1

$$\Rightarrow 3T_{4,n-2}^{k-1} \text{ tilings}$$

- 3) Two red squares in the first column  $\Rightarrow$   $k-2$  red tiles in a tiling of size  $4 \times (n-2)$

$$\Rightarrow T_{4,n-2}^{k-2} \text{ tilings}$$

- 4) One red square in the first column, and one red tile whose lower left corner is in the second column  $\Rightarrow$  interlocking pattern that can start two ways:



Assume interlocking pattern covers the first  $r$  columns  $\Rightarrow r-1$  red tiles have been placed. The remaining  $k-(r-1)$  tiles occur in a tiling of size  $4 \times (n-r)$ . Smallest pattern has 2 tiles ( $r = 3$ ), largest has  $k$  tiles ( $r = k+1$ )

$$\Rightarrow 2 \sum_{r=3}^{\min\{k+1, n\}} T_{4, n-r}^{k-(r-1)} \text{ tilings}$$

Table of Values for  $T_{4,n}^k$ 

$n \setminus k$	0	1	2	3	4	5	6	7	8	9	10
0	1										
1	1										
2	1	3	1								
3	1	6	4								
4	1	9	16	8	1						
5	1	12	37	34	9						
6	1	15	67	105	65	15	1				
7	1	18	106	248	250	108	16				
8	1	21	154	490	726	522	176	24	1		
9	1	24	211	858	1736	1824	994	260	25		
10	1	27	277	1379	3604	5148	4090	1770	385	35	1

Patterns:

- 1)  $T_{4,n}^1 = 3(n-1)$
- 2)  $T_{4,n}^n = 1$  for  $n$  even
- 3)  $T_{4,2l}^{2l-1} = (l+1)^2 - 1$  for  $l \geq 0$
- 4)  $T_{4,2l+1}^{2l} = (l+1)^2$  for  $l \geq 1$

Proof:

- 1) General Result (2) for  $m = 4$ .
- 2) Placing  $n$  red tiles in an area of  $4 \times n$  can be done in exactly one way - all tiles are red.
- 3) In this case one less than the maximal number of possible red tiles is being placed  $\Rightarrow$  4 white tiles are being placed in the tiling. Due to the geometry of the red tiles, these white tiles occur as two white stacks of two squares, which can be placed either horizontally or vertically.
  - If stacks are placed vertically they both have to be in either the upper or lower half of the tiling. In that half there are  $l-1$  red squares, thus  $\binom{l+1}{2}$  possible locations  
 $\Rightarrow 2 \binom{l+1}{2} = l^2 + l$  possible tilings
  - If stacks are placed horizontally they both have to be in the same two columns, and have to be separated by a red tile (other cases already covered)  $\Rightarrow l$  additional tilings  
 $\Rightarrow l^2 + l + l = (l+1)^2 - 1$  tilings
- 4)  $2l$  red tiles cover an area of  $4 \times (2l)$ . Since the number of columns is odd, there must be a stack in each of the upper and lower two rows. This stack can be placed in  $(l+1)$  ways for each of the two stacks  $\Rightarrow (l+1)^2$  possible tilings.

Case m = 5:

$$T_{5,n}^k = T_{5,n-1}^k + 4T_{5,n-2}^{k-1} + 3T_{5,n-2}^{k-2} + 2 \sum_{r=3}^{k+1} F_{r+1} T_{5,n-r}^{k-r+1} \quad \text{for } k \geq 2$$

$F_r = r^{\text{th}}$  Fibonacci Number

Proof: (Similar to case m = 4)

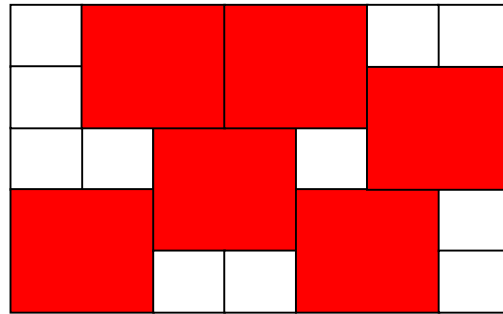
- 1) - 3) For the cases where there is no interlocking pattern, the argument is identical except that for 2) (one red tile) and 3) (two red tiles) the number of possible placements of the tiles in the first column is adjusted.

$$\Rightarrow T_{5,n-1}^k + 4T_{5,n-2}^{k-1} + 3T_{5,n-2}^{k-2} \text{ tilings}$$

- 3) There is a one-to-one correspondence to the total number of tilings of a  $1 \times r$  area with red and white c-rods (warm-up exercise #1). Each such tiling "creates" 2 interlocking tilings with red and white squares that cover the first  $r$  columns, which is then combined with a tiling of size  $5 \times (n-r)$  which has  $k-(r-1)$  tiles

$$\Rightarrow 2 \sum_{r=3}^{k+1} F_{r+1} T_{5,n-r}^{k-r+1}$$

## Interlocking pattern



## Middle row



## "Creation"



Table of Values for  $T_{5,n}^k$ 

$n \setminus k$	0	1	2	3	4	5	6	7	8
0	1								
1	1								
2	1	4	3						
3	1	8	12						
4	1	12	37	34	9				
5	1	16	78	140	79				
6	1	20	135	382	454	194	27		
7	1	24	208	824	1566	1344	408		
8	1	28	297	1530	4103	5670	3698	926	81

Patterns:

$$1) T_{5,n}^1 = 4(n-1)$$

$$2) T_{5,2l}^{2l} = 3^l \text{ for } l \geq 0$$

Proof:

1) General Result (2) for  $m = 5$ .

2) Since we are placing  $2l$  tiles, they cannot be in an interlocking pattern ( $r$  columns  $\rightarrow r-1$  tiles). Thus each pair of two consecutive columns contains exactly 2 red tiles, which can be arranged in 3 ways within the two columns  $\Rightarrow 3^l$  possibilities

## Connections with Alternative Method of Counting

Tiling an  $m$ -by- $n$  Area with Squares of Size up to  $k$ -by- $k$  ( $m \leq 5$ ), *Congressus Numerantium* 140 (1999), 43 - 64.

- For  $m = 4$  and  $m = 5$ , the interlocking patterns are exactly the basic blocks of size  $m \times r$

$$T_{m,n}^k = T_{m,n-1}^k + (m-1)T_{m,n-2}^{k-1} + \binom{m-2}{2}T_{m,n-2}^{k-2} + \sum_{r=3}^{k+1} B_{m,r} T_{m,n-r}^{k-r+1}$$

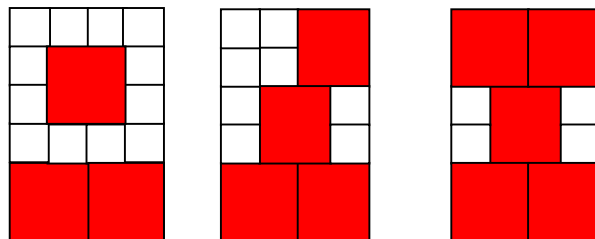
### Extensions to $m > 5$

Combined formula looks promising - but there is a **BIG** problem

- First three terms generalize to  $T_{m,n-1}^k + \sum_{l=1}^{\lfloor m/2 \rfloor} \binom{m-l}{l} T_{m,n-2}^{k-l}$

**BUT**

- Interlocking patterns cannot be generalized, because the width of the pattern does no longer determine the number of red tiles within the pattern!!



## Generating Functions

**Idea:** Create a function (in series representation) whose coefficients count the quantity of interest

**Examples:**

$T_n = \#$  of c-rod tilings of  $1 \times n$

$$f(x) = \sum_{n \geq 0} T_n x^n \quad \text{generating function}$$

$T_{m,n}^k = \#$  of tilings of  $m \times n$  containing exactly  $k$   $2 \times 2$  tiles

$$g(x,y) = \sum_{n \geq 0} \sum_{k \geq 0} T_{m,n}^k x^n y^k \quad \text{generating function}$$

**Note:**

$$g_m(x,1) = \sum_{n \geq 0} \sum_{k \geq 0} T_{m,n}^k x^n = \sum_{n \geq 0} x^n \sum_{k \geq 0} T_{m,n}^k = \sum_{n \geq 0} T_{m,n} x^n = G_m(x)$$

generating function for  $T_{m,n}$

## Example

Generating function for  $T_n = \#$  of c-rod tilings of  $1 \times n$

$$T_n = T_{n-1} + T_{n-2} \quad \text{with } T_0 := 1, T_1 = 1$$

$$T_{n+2} = T_{n+1} + T_n$$

$$\sum_{n \geq 0} T_{n+2} x^n = \sum_{n \geq 0} T_{n+1} x^n + \sum_{n \geq 0} T_n x^n$$

$$\frac{1}{x^2} \sum_{n \geq 0} T_{n+2} x^{n+2} = \frac{1}{x} \sum_{n \geq 0} T_{n+1} x^{n+1} + \sum_{n \geq 0} T_n x^n$$

$$\frac{1}{x^2} \sum_{r \geq 2} T_r x^r = \frac{1}{x} \sum_{r \geq 1} T_r x^r + \sum_{n \geq 0} T_n x^n$$

$$f(x) - T_1 x - T_0 = x(f(x) - T_0) + x^2 f(x)$$

$$f(x)(1-x-x^2) = 1 \quad \Rightarrow \quad \boxed{f(x) = \frac{1}{(1-x-x^2)}}$$

## Generating Functions

Recall the notation used before:

$g_m(x, y)$  generating function for  $T_{m,n}^k$

$G_m(x)$  generating function for  $T_{m,n}$

## Results

➤  $g_2(x, y) = (1 - x - x^2y)^{-1}$  and  $G_2(x) = (1 - x - x^2)^{-1}$

➤  $g_3(x, y) = (1 - x - 2x^2y)^{-1}$  and  $G_3(x) = (1 - x - 2x^2)^{-1}$

➤  $g_4(x, y) = \frac{1 - xy}{(1 - xy)(1 - x - 3x^2y - x^2y^2) - 2x^3y^2}$  and

$$G_4(x) = \frac{1 - x}{1 - 2x - 3x^2 + 2x^3}$$

➤  $g_5(x, y) = \frac{1 - xy - x^2y^2}{1 - x - xy - 3x^2y - 4x^2y^2 - x^3y^2 + 3x^3y^3 + 3x^4y^4}$  and

$$G_5(x) = \frac{1 - x - x^2}{1 - 2x - 7x^2 + 2x^3 + 3x^4}$$

## Table of Values for $T_{5,n}^k$

$n \backslash k$	0	1	2	3	4	5	6	7	8		sum
0	1										1
1	1										1
2	1	4	3								8
3	1	8	12								21
4	1	12	37	34	9						93
5	1	16	78	140	79						314
6	1	20	135	382	454	194	27				1213
7	1	24	208	824	1566	1344	408				4375
8	1	28	297	1530	4103	5670	3698	926	81		16334

In[2]:= Normal [

```
Series[(1 - x*y - x^2*y^2) /
(1 - x - x*y - 3*x^2*y - 4*x^2*y^2 - x^3*y^2 +
3*x^3*y^3 + 3*x^4*y^4), {y, 0, 8}, {x, 0, 8}]]
```

Out[2]=  $1 + x + x^2(1 + 4y + 3y^2) + x^3(1 + 8y + 12y^2) +$   
 $x^4(1 + 12y + 37y^2 + 34y^3 + 9y^4) +$   
 $x^5(1 + 16y + 78y^2 + 140y^3 + 79y^4) +$   
 $x^6(1 + 20y + 135y^2 + 382y^3 + 454y^4 + 194y^5 + 27y^6) +$   
 $x^7(1 + 24y + 208y^2 + 824y^3 + 1566y^4 + 1344y^5 + 408y^6) +$   
 $x^8(1 + 28y + 297y^2 + 1530y^3 +$   
 $4103y^4 + 5670y^5 + 3698y^6 + 926y^7 + 81y^8)$

In[3]:= Normal [

```
Series[(1 - x - x^2) / (1 - 2x - 7x^2 + 2x^3 + 3x^4),
{x, 0, 8}]]
```

Out[3]=  $1 + x + 8x^2 + 21x^3 + 93x^4 +$   
 $314x^5 + 1213x^6 + 4375x^7 + 16334x^8$

## Asymptotics

With CAS program we can get any coefficient we want, but often only interested in the rate of growth of the coefficients.

### Complex Analysis:

- Size of the  $n^{\text{th}}$  coefficient of a power series is bounded by  $\sim(\frac{1}{R})^n$ , where  $R$  is the **radius of convergence**
- If generating function has a poles (i.e. is a rational function whose denominator has zeros), then its power series about any zero leads to a **Laurent series**
- Negative powers of Laurent series expansion constitute the **principal part**
- Coefficients of the generating function can be approximated by the coefficients of the principal parts at the poles
- Tools: **Partial fraction decomposition, geometric series, methods to find roots for polynomials...**